

PATENT APPLICATION

Attorney Docket No. FINGERMAN 1-1-1
Date: February 10, 2000

(66610)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Adam W. FINGERMAN et al.

Appln No.: 09/440,434

Filed: November 15, 1999

For: METHOD AND APPARATUS FOR
REMOTE AUDIVISUAL SIGNAL
RECORDING SERVICE

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

2/10/00
Date

Herbert H. Spong
Registration No. 25,747
Attorney for Applicant(s)



RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated December 14, 2000, enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Two executed Declarations for Patent Application, including Power of Attorney (1 executed by inventor Kocherlakota; 1 executed by inventors Fingerman and Rimalovski).
- (X) Two assignment(s) of the invention to Lucent Technologies Inc., (1 executed by inventor Kocherlakota; 1 executed by inventors Fingerman and Rimalovski) each document accompanied by a Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- (X) Please deduct the assignment recording fees totalling \$80.00 from Deposit Account No. 12-2325.
- (X) Other:
 - 1. Request for Change of Attorney Docket Number and Deposit Account Number.
 - 2. Replacement General Authorization for Petition for Extension of Time Under 37 C.F.R. §1.136(a)(3).

(X) Fee Calculation:

Fee Calculation For Claims As Filed

() Provisional Application Basic Fee \$ 150.00 \$ _____
 • Surcharge under 37 C.F.R. §1.16(1) \$ 50.00 \$ _____

or

(X) Non-Provisional Utility Application \$760.00 \$ 760.00
 Basic Fee

- Independent 5 - 3 = 2 x \$ 78.00 = \$ 156.00
 Claims
- Total Claims 33 - 20 = 13 x \$ 18.00 = \$ 234.00
- Fee for Multiply Dependent Claims x \$260.00 = \$ _____
- Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

or

() Design Application Basic Fee \$310.00 \$ _____
 • Surcharge under 37 C.F.R. §1.16(e) \$130.00 \$ _____

Total Filing and/or Surcharge Fee \$ 1280.00

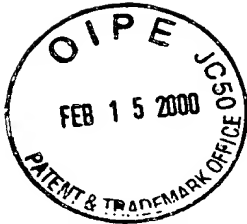
(X) Charge the filing and/or surcharge fee of \$ 1280.00 to Deposit Account No. 12-2325.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 12-2325. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 12-2325. This sheet is filed in triplicate.

February 16, 2000
 (Date)

Kenneth H. Samples
 Kenneth H. Samples
 Registration No. 25,747

FITCH, EVEN, TABIN & FLANNERY
 Suite 1600
 120 South LaSalle Street
 Chicago, Illinois 60603-3406
 Telephone: (312) 577-7000
 Facsimile: (312) 577-7007



PATENT APPLICATION

Atty. Docket No. FINGERMAN 1-1-1
(PREVIOUSLY Docket No. 66610)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Adam W. FINGERMAN
et al.

Appln. No: 09/440,434

Filed: November 15, 1999

Title: METHOD AND APPARATUS
FOR REMOTE AUDIOVISUAL
SIGNAL RECORDING SERVICE

Group Art
Unit: 2755

Examiner: N/A

CERTIFICATE OF MAILING

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envelope addressed to: Commissioner of
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20231, on this date.

2/10/00 *Kenneth H. Hough*
Date Registration No. 25,747
Attorney for Applicant

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER
AND DEPOSIT ACCOUNT NUMBER

Box MISSING PARTS
Hon. Commissioner of Patents
and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

On November 15, 1999, applicants filed the above-captioned application showing an attorney docket number of 66610, stating that Deposit Account 06-1135 should be used for future charges, and including a General Authorization for Petition for Extension of Time identifying Deposit Account 06-1135.

The undersigned has been advised by the assignee that they wish to have their own docket number and deposit account used

Application No. 09/440,434
Filed November 15, 1999

Atty. Dkt. No. FINGERMAN 1-1-1

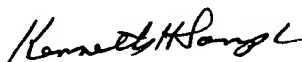
throughout the prosecution of this application. Therefore, it is respectfully requested that the Patent Office change its records for the above-captioned application to 1) make the Attorney Docket Number FINGERMAN 1-1-1, and 2) charge any fees to Deposit Account No. 12-2325.

The above corrections are included on the enclosed Response to Missing Parts, bearing in the upper right-hand corner Attorney Docket No. FINGERMAN 1-1-1, and instructing that the previously deferred charges for the filing/surcharge fees, and the assignment recording fee are to be charged to Deposit Account No. 12-2325.

Also enclosed is a replacement General Authorization for Petition For Extension of Time Under 37 C.F.R. §1.136(a)(3), which identifies the assignee's deposit account 12-2325. Please substitute the replacement General Authorization For Extension of Time Under 37 C.F.R. §1.136(a)(3) for the one filed November 15, 1999.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By: 
Kenneth H. Samples
Registration No. 25,747

Date: February 10, 2000

120 South LaSalle Street
Suite 1600
Chicago, IL 60603-3406
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Facsimile: (312) 577-7007

doc. 217503



PATENT

Attorney Docket No. FINGERMAN 1-1-1

Date: February 10, 2000

Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner
for Patents
Washington, D.C. 20231

Applicant(s): Adam W. FINGERMAN et al.)
Application No.: 09/440,434)
Filed: November 15, 1999)
Title: METHOD AND APPARATUS FOR)
REMOTE AUDIO VISUAL)
SIGNAL RECORDING SERVICE)
Group Art Unit: 2755)
Examiner: N/A)

CERTIFICATE OF MAILING

I hereby certify that this paper is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks,
Washington, D.C. 20231, on this date.

2/10/00
Date

Kenneth H. Samples
Registration No. 25,747
Attorney for Applicant(s)

**GENERAL AUTHORIZATION FOR PETITION FOR
EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

(Replaces General Authorization filed November 15, 1999.)

Applicant(s) hereby request under 37 C.F.R. §1.136(a)(3) by this
general authorization that any concurrent or future reply submitted by
Applicant(s) to the U.S. Patent and Trademark Office for the above-
identified patent application requiring a petition for an extension of time
under §1.136(a) for its timely submission be treated as incorporating
therein a petition for an extension of time for the appropriate length of
time.

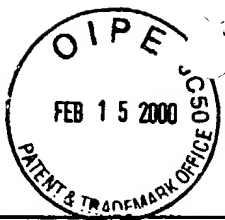
If Applicant(s) do not timely pay for any extension fee(s)
pursuant to 37 C.F.R. §1.136(a) which may become due for this application
under 37 C.F.R. §1.17 by check, the Commissioner is hereby authorized to
charge such fee(s), and any additional fees which may be required in this
application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or
credit any overpayment, to Deposit Account No. 12-2325.

February 10, 2000
(Date)

Kenneth H. Samples
Kenneth H. Samples
Registration No. 25,747

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DEC 18 1999



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Section
#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/440,434

11/15/99

FINGERMAN

A

66610

0212/1214

FITCH EVEN TABIN & FLANNERY
120 NORTH LASALLE STREET
CHICAGO IL 60603-3406

NOT ASSIGNED

DATE MAILED: 2755

12/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1280.00.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 234 for 13 total claims over 20.

\$ 156 for 2 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

☐ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

02/18/2000 YGIZAW 00000008 122325 09440434

01 FC:101 760.00 CH

02 FC:102 156.00 CH

03 FC:103 234.00 CH

04 FC:105 130.00 CH